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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/890,067	10/30/2001	Dominicus Linerkens	P-282665/EUR	4562

909 7590 08/19/2004  
PILLSBURY WINTHROP, LLP  
P.O. BOX 10500  
MCLEAN, VA 22102

EXAMINER
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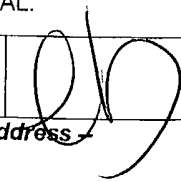
COONEY, JOHN M

ART UNIT	PAPER NUMBER
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1711

DATE MAILED: 08/19/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No.	Applicant(s)	
	09/890,067	LIMERKENS ET AL.	
	Examiner	Art Unit	
	John m Cooney	1711	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 15 June 2004.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-31 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 28-31 is/are allowed.
- 6) ☐ Claim(s) 1-23, 26 and 27 is/are rejected.
- 7) ☒ Claim(s) 24 and 25 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>0204</u> . | 6) <input type="checkbox"/> Other: _____  |

Applicant's arguments filed 6-15-04 have been fully considered but they are not persuasive.

Rejection of claims 1-31 under 35 U.S.C. 102(b) as being anticipated by H\*-327,728(Suzuki) is hereby withdrawn in light of applicants' remarks.

The following rejections are newly set forth or maintained:

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-23 and 26-27 are rejected under 35 U.S.C. 102(b) as being anticipated by EP-0,692,516.

EP-0,692,516 discloses preparations of thermoplastic polyurethane foams prepared from a second foam concentrate in the presence of thermally expandable microspheres, wherein the foams are prepared by methods encompassing of applicants' claims, and the products obtained have densities and other properties encompassing of applicants' claims (see the entire document).

Claims 1-23, 26, and 27 are rejected under 35 U.S.C. 102(b) as being anticipated by Spitler et al.(6,166,109).

Spitler et al. discloses preparations of polyurethane foams from isocyanates as defined by applicants' claims, polyols as defined by applicants' claims, in the presence of various co-blowing agents, and thermally expandable microspheres, wherein the foams are prepared by methods encompassing of applicants' claims, and the products obtained have densities and other properties encompassing of applicants' claims (see the entire document).

The following rejection is maintained:

Claims 1,2, 4, 7-23, 26, and 27 are rejected under 35 U.S.C. 102(b) as being anticipated by Harrison et al.(5,260,343).

Harrison et al. discloses preparations of polyurethane foams from isocyanates as defined by applicants' claims, polyols as defined by applicants' claims, in the presence of water, as an economical, easy to use co-blowing agent, and thermally expandable microspheres, wherein the foams are prepared by methods encompassing of applicants' claims, and the products obtained have densities and other properties encompassing of applicants' claims (see the entire document).

Applicants' arguments have been considered, but rejection is maintained for the reasons set forth above. Further, examiner's previous arguments are maintained.

Examiner stated:

All of applicants' arguments have been considered. However, rejection is maintained for the reasons set forth above. The teachings of Harrison et al. must be taken in their totality. Harrison et al. disclose numerous species, which will provide for thermoplastic product realization, and the teachings of the examples do not negate the totality of the teachings of the reference. Claims 26 and 27 are further rejected because these claims, without the binder, or further definition of the pellets, do not distinguish over the disclosure of Harrison et al.

Applicant further argues:

Harrison discusses a process that comprises:  
reacting a polyisocyanate component with a isocyanate reactive  
compound. . . in the presence of . . . thermoplastic spheres containing a  
volatile hydrocarbon as a co-blowing agent with water.

Accordingly, as Harrison discusses a process wherein microspheres are added to the components that react to form a polyurethane, the method of the presently claimed invention is not anticipated by Harrison.

Examiner holds, argues, and maintains that this point does not and/or is not seen to distinguish the claims over the cited Harrison et al. teaching at column 2 lines 37-43 or in its entirety.

Applicants' latest arguments have been considered. However, the reference is not seen to be limited to the teachings of the examples. Harrison et al. is not limited to its teaching of difunctional reactants, and, in fact, discloses the employment of numerous difunctional reactants within its teaching. Further, Harrison et al. does not particularly require crosslinking, and its anticipatory teaching is not seen to be limited to the crosslinked examples. Additionally, the curing of polyurethanes does not require that crosslinking occur and does not equate, necessarily, to crosslinking.

The previous rejection under 35 USC 103 is withdrawn and replaced with the following rejections. These rejections are set forth as alternative rejections to those set forth above under 35 USC 102 and are to be dealt with separately from the rejections set forth above. The rejection Harrison et al. below includes claims 3,5, and 6 which were not included in the rejection under 35 USC 102 set forth above:

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-23 and 26-27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Spitler et al.(6,166,109).

Spitler et al. discloses preparations of polyurethane foams from isocyanates as defined by applicants' claims, polyols as defined by applicants' claims, in the presence of various co-blowing agents inclusive of those claimed, and thermally expandable microspheres, wherein the foams are prepared by methods encompassing of applicants' claims, and the products obtained have densities and other properties encompassing of applicants' claims (see the entire document).

Spitler et al. differs from the claims in that it is not particularly concerned with the formation of thermoplastic polyurethane products. However, the reference includes within its teaching the means to form thermoplastic polymer materials as claimed. Accordingly, it would have been obvious for one having ordinary skill in the art to have employed the thermoplastic polymer forming reactants disclosed within Spitler et al. for the purpose of realizing a product with softening properties in order to arrive at the products and/or processes of applicants' claims with the expectation of success in the absence of a showing of new or unexpected results.

Claims 1-23 and 26-27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Harrison et al.(5,260,343), taken alone, or in view of Spitler et al.(6,166,109).

Harrison et al. discloses preparations of polyurethane foams from isocyanates as defined by applicants' claims, polyols as defined by applicants' claims, in the presence

of water, as an economical, easy to use co-blowing agent, and thermally expandable microspheres, wherein the foams are prepared by methods encompassing of applicants' claims, and the products obtained have densities and other properties encompassing of applicants' claims (see the entire document).

Harrison et al. differs from the claims in that it is not particularly concerned with the formation of thermoplastic polyurethane products. However, the reference includes within its teaching the means to form thermoplastic polymer materials as claimed. Accordingly, it would have been obvious for one having ordinary skill in the art to have employed the thermoplastic polymer forming reactants disclosed within Spitler et al. for the purpose of realizing a product with softening properties in order to arrive at the products and/or processes of applicants' claims with the expectation of success in the absence of a showing of new or unexpected results.

Harrison et al. differs from the claims in that it is not particularly concerned with additional blowing agents beyond the expandable microspheres and the economical and easy to use co-blowing agent, water. However, Spitler et al. discloses the preparation of polyurethane foams wherein various physical and chemical blowing agents, either implicitly and/or by reference, are taught to be useful with and/or in the alternative to water as a blowing agent for the purpose of imparting their blowing effect. Accordingly, it would have been obvious for one having ordinary skill in the art to have utilized other blowing agents as taught by Spitler et al. in the preparations of Harrison et al. for the purpose of imparting their blowing effect in order to arrive at the processes



and products of applicants' claims with the expectation of success in the absence of a showing of new or unexpected results.

It is additionally noted that it is prima facie obvious to substitute equivalents, motivated by the reasonable expectation that the respective species will behave in a comparable manner or give comparable results in comparable circumstances. *In re Ruff* 118 USPQ 343; *In re Jezei* 158 USPQ 99; the express suggestion to substitute one equivalent for another need not be present to render the substitution obvious. *In re Font*, 213 USPQ 532.

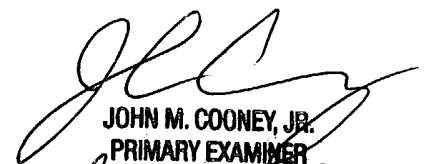
### **Objections**

Claims 24 and 25 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to John Cooney whose telephone number is 571-272-1070. The examiner can normally be reached on M-F from 9 to 6.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James Seidleck, can be reached on 571-272-1078. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

  
JOHN M. COONEY, JR.  
PRIMARY EXAMINER  
Group 1700